# U.S. DEPARTMENT OF TRANSPORTATION VOLPE NATIONAL TRANSPORTATION SYSTEMS CENTER KENDALL SQUARE, CAMBRIDGE, MA 02142

June 1, 1977

#### **CONTRACTOR REPORT EXHIBIT**

#### **TYPE OF REPORTS**

Unless otherwise specified in the schedule of the contract, VNTSC Contractor Reports fall into the four basic categories defined below:

- 1. <u>Monthly Progress Reports</u> Monthly Progress Reports summarize highlights of the contractor's monthly effort, briefly cover problem areas and proposed solutions, present a brief technical discussion, comment on the scheduled progress of the study, and conclude with the anticipated work to be covered in the succeeding month. The presentation of the data is informal.
- 2. Quarterly Progress Reports Quarterly Progress Reports summarize work accomplished during each 3-month period of the contract. In addition to factual data, these reports should include a technical analysis section which interprets results obtained, recommends further actions, and relates occurrences significant to the ultimate objectives of the contracted work. A sufficient number of diagrams, sketches, curves, photographs, and other visual aids shall be included to accentuate results and to make the presentation more intelligible and meaningful.
- 3. <u>Interim Reports</u> Defined in Paragraph 4(c) of the attached DOT-TST-75-97, dated May 1975.
  - 4. Final Technical Reports Defined in Paragraph 4 (d) of attached DOT-TST-75-97.

#### **REQUIREMENTS FOR REPORTS**

Unless otherwise specified in the schedule of the contract, Monthly Progress and Quarterly Progress Reports shall be informal in nature and not subject to the provisions of the attached DOT-TST-75-97.

#### **TECHNICAL REPORTS**

- a. Unless otherwise specified in the contract schedule, Interim and Final Technical Reports shall be prepared and submitted in accordance with the specifications of DOT-TST-75-97 'Standards for the Preparation and Publication of DOT Scientific and Technical Reports' dated May 1975, consisting of twenty (20) pages, attached hereto and made a part hereof.
- b. In addition to the requirements set forth in DOT-TST-75-97, attached, Interim and Final Technical Reports shall contain an Appendix entitled "Report of New Technology Appendix" containing the following for each "subject invention" as defined in the Patent Rights clause of the contract.

- (1) An appropriate title;
- (2) An identification of the page or pages in the report wherein the "subject invention" is described; and
  - (3) Other comments, if any, the writer wishes to make.

In the event no "subject inventions" are achieved during the performance of work under this contract, a Report of New Technology Appendix is still required to be included in any interim or final technical report to identify the page(s) wherein improvements made under the contract are described.

The foregoing "Report of New Technology Appendix" is intended to be brief and does not replace the reporting requirements under the clause of the General Provisions of the contract entitled "Patent Rights."

#### **TECHNICAL MONITOR**

The VNTSC engineer monitoring the contract is referred to as the Technical Monitor. The Technical Monitor is the VNTSC spokesman in all technical matters relating to the contract and is ultimately responsible for VNTSC technical management of the contract. The Technical Monitor as Contracting Officer's Representative for technical matters within the scope of the contract, is the final VNTSC technical approval authority for Contractor Reports.

#### **NUMBER OF REPORTS TO BE PROVIDED**

Unless otherwise specified in the contract schedule, the Contractor shall provide the number set forth below to the activities indicated:

Reports	No. of Copies	Forward to
Monthly Progress	8	Technical Monitor
Quarterly Progress Interim	15	Technical Monitor
(Manuscript)	4 (clean)	2 to Technical Monitor 1 to VNTSC Patent Counsel, TSC-141 1 to TSC Organization & Management Branch, VNTSC-831
Interim	1 reproducible & Up to 250 copies*	VNTSC Organization & Branch, VNTSC-831
Final	op 10 200 cop.00	Dranon, vivios sor
(Manuscript)	4 (clean)	2 to Technical Monitor 1 to VNTSC Patent Counsel, VNTSC-141 1 to VNTSC Organization & Management Branch, VNTSC-831
Final	1 reproducible Up to 250 copies*	VNTSC Organization & Management, VNTSC-831

A copy of the letter transmitting all reports shall be sent to the Administrative Contracting Officer.

The Technical Monitor will review all reports and notify the Contracting Officer in writing, of the results of the review specifically whether approved or disapproved. The notification of the results of the review of Interim (Manuscript) and Final (Manuscript) shall be within ninety (90) days after submission.

\*Not to exceed 25,000 pages in the aggregate (See Title 1, Paragraph 2-1 of Government Printing & Binding Regulations, October 1974).

# STANDARDS FOR THE PREPARATION AND PUBLICATION OF DOT SCIENTIFIC AND TECHNICAL REPORTS

Appendix to Order DOT 1700.18B, "Acquisition, Publication and Dissemination of DOT Scientific and Technical Reports."



## **May 1975**

### **R & D Management Report**

Document is available to the U.S. public through the National Technical Information Service, Springfield, Virginia 22161.

## U.S. DEPARTMENT OF TRANSPORTATION

Office of Assistant Secretary for Systems Development and Technology Washington, DC 20590

#### **Technical Report Documentation Page**

1. Report No.	2. Government Accessio	n No.	3. Recipient's Catal	og No.			
DOT-TST-75-97	PB 245400						
4. Title and Subtitle  STANDARDS for the Prepa Scientific and Technical Ro		of DOT	5. Report Date  May 1976  6. Performing Organization Code  DOT/TST				
			8. Performing Organ	nization Report No.			
7. Author (s)	DOT-TST-75-97						
9. Performing Organization Name and Ado Office of R&D Plans and Res			10. Work Unit No. (1	RAIS)			
Office of the Assistant Secret Development and Technolog			11. Contract or Gran	nt No.			
U.S. Department of Transport			13. Type of Report a	and Period Covered			
12. Sponsoring Agency Name and Addres	ss		N/A				
SAME AS ABOVE			14. Sponsoring Age	ncy Code			
15. Supplementary Notes  This document is used as pe	rformance exhibit in all	DOT R&D cor	ntracts and grants.				
scientific and technic do not apply to techn The document contai conversions, use of il	es standards for the pre al reports issued by DO ical or training manuals ns guidelines for report lustrations and physica es are specified, as are cepted reports.	T and DOT co , journal artic formats, title I layout requi	ontractors. The stand les, brochures or pan pages and covers, m rements. Report revi	ards nphlets. etric ew and			
17. Key Words  technical documentation R&D management information processing		through the	atement nent is available to the National Technical Ir ringfield, Virginia, 221	nformation			
19. Security Classif. (of this report)	20. Security Calssif. (of this pag	e)	21. No. of Pages	22. Price			
Unclassified	Unclassified		21				

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- 1. <u>PURPOSE</u>. This document establishes standards for scientific and technical reports prepared by or for the Department of Transportation (DOT). The application of these standards aids in the interchange of scientific and technical information and in the reduction of costs in the preparation, publication, and dissemination of such information. This document is reviewed periodically by a DOT R&D Information Working Group convened to ensure its compatibility with Congressional and DOT requirements and conformance to national documentation standards. It also is included as Appendix 1 to Order DOT 1700.18B, "Acquisition, Publication, and Dissemination of DOT Scientific and Technical Reports," and as an exhibit in all DOT R&D procurement contracts, as applicable.
- 2. <u>EXCLUDED DOCUMENTS</u>. These standards do not apply to operational instructions and directives, technical or training manuals, journals and journal article manuscripts, preprints or reprints, brochures or pamphlets. Also excluded are Technical Sharing, management and administrative documents. However, at the discretion of the Sponsoring organization, those documents which contain significant technical information may be, included.

#### 3. <u>REFERENCES</u>:

- a. American National Standards Institute (ANSI) document, <u>Writing Abstracts</u>, Z39.14-1971; \$3.50; Available from the American National Standards Institute, 1430 Broadway, New York, NY 10018
- b. <u>Bibliographic Procedures and Style: A Manual for Bibliographers in the Library of Congress.</u> \$0.70. Available from the Superintendent of Documents, U.S. Government Printing Office, D.C. 20402.
- c. ANSI document, <u>Guidelines for Format and Production of Scientific and Technical Reports</u>, Z39.18-1974, \$4.00i Available from the American National Standards Institute, 1430 Broadway, New York, NY 10018
- d. U.S. Congress Joint Committee on Printing, <u>Current Government Printing and Binding Regulations</u>; Available from the Joint Committee on Printing, U.S. Congress, Committee Room S-151, U.S. Capitol, Washington, D. C. 20510.
- e. Department of Defense/Engineers Joint Council, <u>Thesaurus of Engineering and Scientific Terms</u>, 1967. Available from the Engineers Joint Council, 345 East 47th Street, New York, NY 10017.
- f. Department of Commerce, <u>List of Business and Economic Terms</u>, COM-73-12000, December 1973, AD-641092; Available from the National Technical Information Service, Springfield, Virginia 22161.
- g. ASTM document <u>Metric Practice Guide</u>, Z210.1 1973. Available from the American Society for Testing and Materials, 1916 Race Street, Philadelphia, PA 19103, designation E380-72.
- h. Department of Commerce, <u>Units of Weights and Measures</u>, National Bureau of Standards Miscellaneous Publication 286, SD Catalog No. C13.10.286, \$2.25; Available from the Superintendent of Documents, U.S. Government Printing Office, Washington, D. C. 20402.

#### 4. <u>DEFINITIONS</u>.

- a. <u>Sponsoring Agency:</u> The DOT organizational element having program responsibility for scientific or technical effort. A public body (state, city, commission, etc.) also may be a sponsoring agency in cooperation with a DOT element.
- b. <u>Performing Organization:</u> The DOT element (either headquarters, field, or laboratory), contractor, grantee or recipient of DOT R&D funds reporting specific scientific or technical research findings which result from investigations, demonstrations, tests or experiments.
- c. <u>Interim Report:</u> A report issued during the course of a project, or a major part thereof, to reflect completion of a specific phase of a project assignment. This method of reporting can also be used where a periodic report of progress is of interest to the transportation community at large. Interim reporting, for example, can be the communications medium for early reporting under a project of considerable duration or relative complexity.
- d. <u>Final Report:</u> A report issued at the completion of a project, or a major portion thereof, to signify the accomplishment and formal "closeout" of a project.
- e. <u>Transportation Research Information Services Network (TRISNET):</u> TRISNET is sponsored by DOT to improve the efficiency and effectiveness of the transportation-related information services. It includes such services as the Highway Research Information Service (HRIS), Railroad Research Information Service (RRIS), Maritime Research Information Service (MRIS), TRISNET Repository at NTIS, and other services still under development.
- 5. <u>REQUIREMENTS</u>. DOT-sponsored scientific and technical reports shall conform to the requirements of Document DOT-TST-75-97, security regulations, and implementing instructions of the sponsoring organization.
- 6. <u>LEGAL CONSIDERATIONS</u>. The Government may be subject to liability for misuse of the literary or intellectual property (patents, trademarks, "proprietary information") of others. To ensure that technical reports can receive the widest possible dissemination, report writers and editors should observe the following guidelines:
- a. <u>Copyright</u>. No copyrighted material may be incorporated into a report unless written permission of the copyright owner has been obtained. Prior use of copyrighted material in another Government publication does not necessarily constitute permission to use it in a DOT publication. Where permission has been obtained and the material is used in a report, it shall be identified by a statement substantially as follows:

Reprinted from	(title	of	publicat	on)	by	(name	of	author)	by	permission	of	(name	of
copyright owner)	). Yea	ar o	of first pu	blica	atior	า							_

- b. <u>Courtesy</u> requires that acknowledgment or credit be given (by footnote, bibliographic reference, or a statement in the text) for the use of the material contributed or assistance rendered by someone else though no copyright notice is involved.
- c. <u>Unpublished work</u> may be protected under common law or equity even though there is no copyright notice. Problems relating to the protection given to unpublished work will be referred to the Office of the General Counsel.
- d. <u>Privately Owned Information:</u> To avoid restriction on availability of reports, every effort should be made to avoid the use of proprietary information accepted by the Government for limited purposes. Such proprietary information will be used only if it is essential to the understanding of a report and only after approval by the Office of the General Counsel. Reports containing such proprietary information will bear a statement restricting availability and handling, as required (Paragraph 7b(9)).
- e. <u>Data Use Restriction:</u> In the event that the Contractor furnishes any information or data which the Contractor considers to be proprietary under the terms of the contract, the Contractor shall affix the following use restriction legend to such proprietary data, shall mark such data with the number of the prime contract, and subcontract, if applicable; and shall deliver such proprietary data directly to the Government. No other legend is authorized and the Government will thereafter treat the data in accordance with such legend.

#### DATA USE RESTRICTION

f. <u>Trademarks:</u> The term "trademark" includes any word, name, symbol, device or any combination thereof, adopted and used by a manufacturer or merchant to identify his goods and distinguish them from those manufactured and/or sold by others. It is improper to use a "trademark" to identify goods not manufactured or sold by the owner of a trademark or his licensee. In general, the use of trademarks is discouraged. Where feasible, goods should be identified by a type designation or a structural feature that distinguishes them from other goods.

#### g. Trade Names and Manufacturer's Names:

(1) Under Section 522 of Title 5, United States Code, as implemented by DOT Public Affairs Management Manual, DOT Order 1210.5, 2-6-74, reports which once were not available to the public, may be obtained by anyone who wants them. Particularly to be avoided is the appearance of endorsing or favoring a commercial product, commodity or service. Trade names or the names of manufacturers will not be given unless the report will not contain meaningful information without them.

(2) When trade names or manufacturers names are used in a report, this fact will be specifically brought to the attention of the reviewing office before the report is approved. Such reports shall contain the front cover (no border required):

#### **NOTICE**

The United States Government does not endorse products or manufacturers. Trade or manufacturer's names appear herein solely because they are considered essential to the object of this report.

(3) DOT operating elements should first refer all legal considerations to their appropriate General Counsels before seeking legal advice at the Departmental level.

#### 7. FORMAT.

Body of Report

a. <u>Order of Elements</u>. When some or all of the following elements are appropriate for a report, they will be included and the standard order will be as follows:

Self Cover

Inside Self Cover

Technical Report Documentation Page

Preface

Front Matter Metric Conversion Factors

Table of Contents, List of Illustrations, List

of Tables, List of Abbreviations and

Symbols

Introduction Main Text

Conclusions Recommendations

Appendices

Reference Material Glossary References

Bibliography

Index

Self Cover

#### b. <u>Self Cover</u>.

- (1) <u>Description</u>. Whenever possible, use self covers (of the same weight paper as the text) for all reports. Include on the cover the information shown in groupings plus special markings (such as security classification) as specified by the sponsoring operating elements. Recommended group related items are shown in Figure 1A. A sample of dual-sponsored cover is shown in Figure 1B. Items on self covers also may be prepared by standard typewriter.
- (2) <u>Report Number</u>. Each report shall carry a unique alphanumeric designation provided by the sponsoring operating element (for example, CG-D-14-74; FAA-RD-75-10; or FHWA-PA-RD-75 for a state-sponsored report in cooperation with a DOT element). When a report is prepared in more than one volume, repeat the report number on all volumes and add the appropriate volume number in Roman numerals (for example, FAA-RD-75-10,I and FAA-RD-75-10,II).
- (3) <u>Title and Subtitle</u>. Display the title prominently and use words which indicate clearly and briefly the substance of the report. Set subtitle, if used, in smaller type or otherwise subordinate it to the main title. When a report is prepared in more than one volume, repeat the primary title and report number and identify each separate volume. On reports documenting computerized models use the term "Computerized Model" as the major subtitle.
- (4) <u>Author(s)</u>. The Government Printing and Binding Regulations permit the use of the author's name on self covers. The author's name shall be subordinated in appropriately smaller type than the title. Give the name(s) of the author(s) in conventional order (for example, John R. Doe, or if author prefers, J. Robert Doe). The author's name shall not be placed on the cover if the publication is bound with a separate cover (index stock).
- (5) <u>Performing Organization and Address</u>. Give name, street, city and zip code. List no more than two levels of an organizational hierarchy.
- (6) <u>DOT Insignia</u>. Place the DOT insignia on all reports as shown in Figures 1A and 1B. Incases where a public body (state, city, commission, university, etc.) is a sole sponsoring agency, the DOT insignia may be deleted and appropriate public body substitution made. Dual sponsorship may be recognized by inclusion of appropriate insignias and identifying information.
- (7) <u>Date</u>. Each report shall carry a date by month and year. The sponsoring element may specify the basis for dating. If it does not, the originator will provide a date.
- (8) <u>Type of Report</u>. Indicate nature of report, i.e., interim or final. If the report is a revision, state whether it supersedes the previous edition.
- (9) <u>Distribution Statement</u>. Each DOT sponsoring operating element shall assign a distribution statement, which is placed on the self cover and printed on all copies. The statement that appears on the cover must also appear in Block 18 of the Technical Report Documentation Page. Refer to Order DOT 1210.5, DOT Public Affairs Management Manual of 2-6-74 for additional information concerning availability of documents. Use one of the following as appropriate:

#### **GROUP I**

Report No. CG-D-14-74

#### **GROUP II**

Subtitle (if any)

Title

REMOTE SENSING OF OIL SLICKS

Author (s)

Performing organization name and address

John R. Doe ABC Laboratories, Inc. 405 Main Street Zedburg, TN 37000

DOT insignia



Date September 1974

Type of report FINAL REPORT

Distribution statement

Document is available to the U.S. public through the National Technical Information Service, Springfield, Virginia 22161.

GROUP III Prepared for

Operating element U.S. DEPARTMENT OF TRANSPORTATION

DOT UNITED STATES COAST GUARD
headquarters element office of Research and Development washington, DC 20590

FIGURE 1A. SAMPLE, SELF COVER (Items on cover also may be prepared by standard typewriter).

# EVALUATION OF THE STRUCTURAL INTEGRITY OF AN AIRCRAFT LOADING WALKWAY UNDER SEVERE FUEL-SPILL FIRE CONDITIONS

George B. Geyer Lawrence M. Neri Charles H. Urban

# U.S. DEPARTMENT OF TRANSPORTATION FEDERAL AVIATION ADMINISTRATION National Aviation Facilities Experimental Center Atlantic City, New Jersey 08405



ata

# OCTOBER 1974 FINAL REPORT

Document is available to the U.S. public through the National Technical Information Service,
Springfield, Virginia 22161.

Prepared for

U.S. DEPARTMENT OF TRANSPORTATION FEDERAL AVIATION ADMINISTRATION Systems Research & Development Service Washington, D.C. 20590 AIR TRANSPORT ASSOCIATION OF AMERICA Washington, D.C. 20006

FIGURE 1B. SAMPLE, SELF COVER - DUAL SPONSORS (Items on cover also may be prepared by standard typewriter).

- (a) Document is available to the U.S. public through the National Technical Information Service, Springfield., Virginia 22161.
- (b) Approved for U.S. Government only. This document is-exempted from public availability because (fill in reason). Transmittal of this document outside the U.S. Government must have prior approval of the (fill in DOT sponsoring element).
- (c) Approved for (fill in DOT sponsoring operating element) only. This document is exempted from public availability because (fill in reason). Transmittal of this document outside the (fill in sponsoring operating element), Department of Transportation must have prior approval of the (fill in responsible office).
- (10) <u>Sponsoring Name and Address</u>. Give name, city, state, and zip code of the sponsoring agency. When a public body (state, city, commission, university, etc.) is a sponsoring administration in cooperation with the DOT, grouping will reflect this cooperation, such as:

#### Prepared for DEPARTMENT OF TRANSPORTATION Atlanta, GA 30334

in cooperation with (DOT Headquarters element, address)

c. <u>Inside Self Cover.</u> Special notices, such as reproduction, safety precautions, sponsor's disclaimer, and statement of compliance with special regulations are placed on the inside self cover as required by the sponsoring agency. Place the following notice on the inside self cover of all DOT reports:

NOTICE

This document is disseminated under the sponsorship of the Department of Transportation in the interest of information exchange. The-United States Government assumes no liability for the contents or use thereof.

#### d. Front Matter.

(1) Technical Report Documentation Page (DOT F 1700.7). Include one completed Technical Report Documentation Page as the first right-hand page after the cover in each report or volume. The documentation page replaces the traditional front title page and abstract page. A model completed page is shown in Figure 2A, with instructions for completing the documentation page for the author's use. Adequate and accurate completion of this page will assist documentation of a report. The documentation page also may be distributed in lieu of copies of the published report. This form is available for DOT operating elements from the DOT Warehouse, Publications and Forms, TAD-443-1. For contractors and grantees, the documentation page is available from the Contracting Officers of the sponsoring operating elements. The information presented on the documentation page is the basis for input into the TRISNET and the National Technical Information Service (NTIS).

1. Report No.	Tech	nnical Report Documentation Page  3. Recipient's Catalog No.
1. Report No.	2. Government Accession No.	3. Recipient's Catalog No.
FAA-RD-74-74, I		
4. Title and Subtitle		5. Report Date
ANALYSIS OF PREDIC	TED AIRCRAFT WAKE VORTEX TRANSPORT	April 1974
AND COMPARISON WIT		6. Performing Organization Code
	Predictive System Study	
		8. Performing Organization Report No.
7. Author (s) M.R. Brashears	s, N.A. Logan, S.J. Robertson, K.R. Shrider	
and C.D. Walte		LM-74-2B
9. Performing Organization Name and	d Address	10. Work Unit No. (TRAIS)
Lockheed Missiles & Space	• • •	FA405/R4115
Huntsville Research & Eng	gineering Center	11. Contract or Grant No.
4800 Bradford Drive	1	
Huntsville, AL 35807	!	13. Type of Report and Period Covered
12. Sponsoring Agency Name and Ac	ddress	<del> </del>
		Final Report
U.S. Department of Train	•	April to December 1973
Federal Aviation Admin		
Systems Research and		14. Sponsoring Agency Code
Washington, DC 20590		FAA/ARD-500
15. Supplementary Notes	U.S. Department of Transportation	
*Under contract to:	Transportation Systems Center	
	Kendall Square	
	Cambridge, MA 02142	
16. Abstract		
system concept. I discussed includir sensitivity analysis meaningful compa predicted vortex tr	ortex transport model is developed and applied. The fundamentals of vortex motion underlying ang vortex decay, bursting and instability phenos is presented to establish baseline uncertaint arison of predicted and measured vortex tracks racks with photographic and groundwind vorter prediction and measurement is shown to exi	the predictive model are omena. A parametric and ties in the algorithm to allow s. A detailed comparison of ex data is presented. Excellent

are available. Application of the Pasquill class criteria is shown to be an effective technique to describe the wind profile in the absence of detailed wind data. The effects of wind shear and the Ekman spiral on vortex transport are discussed. It is shown that the combination of wind shear and ground plane may be possible mechanisms underlying vortex tilting and a theoretical explanation is advanced that is somewhat supported by comparison with the experimental data. Finally, recommendations for further vortex data collection in the vicinity of an airport are presented.

Volume II, 246 pages, contains appendices.

17. Key Words Vortices Ground Pl Aircraft Wakes Vortex Tilt Wake Turbulence Vortex Tra Wind Shear Wake Vortex Predictive Syste	18. Distribution Statement  Document is available to the U.S. public through the National Technical Information Service, Springfield, Virginia, 22161.				
19. Security Classif. (of this report)  Unclassified	20. Security Calssif. (of this pag	e)	21. No. of Pages 256	22. Price	
Form DOT F 1700 7 (8-72) Repr	duction of form and completed n	age is authorized		<u> </u>	4

Make items 1, 4, 5, 7, 9, 12, 13, and 18 agree with the corresponding information on the report cover. Use all capital letters for main title (item 4). Leave items 2, 6, and 22 blank. Complete the remaining items as follows:

- 3. Recipient's Catalog No. Reserve for use by report recipient.
- 8. Performing Organization Report No. Insert if performing organization wishes to assign this number.
- 9. Performing Organization Name and Address (include zip code).
- 10. Work Unit No. (TRAIS). Use the number code from the applicable research and technology resume which uniquely identifies the work unit in the Transportation Research Activity Information Service. For Highway Planning and Research (HP&R) Program reports, include the FPC Code assigned in the study.
- 11. Contract or Grant No. Insert the number of the contract or grant under which the report was Prepared. For Highway Planning and Research (HP&R) Program reports, include also the State study number.
- 15. Supplementary Notes. Enter information not included elsewhere but useful, such as: Prepared in cooperation with.... Translation of (or by)..., Presented at conference of.... To be published in..., Other related reports.
- 16. Abstract. Include a brief (not to exceed 200 words) factual summary of the most significant information contained in the report. An abstract should state the purpose, methods, results, and conclusions of the work effort. For the purpose, include a statement of goals (objectives, aims). For methods, include experimental techniques or the means by which the results were obtained. Results (findings) are the most important part of the abstract and selection should be based on one, or several of the following: new and verified events, findings of permanent value, significant findings which contradict previous theories, or findings which the author knows are relevant to a practical problem. Conclusions should deal with the implications of the findings and how they tie in with studies in related fields. Do not repeat title or other items provided on this page. When a report consists of a number of volumes, include the title of each of the other volumes in each abstract.

Reports presenting the results of computerized model development will use the following structure for the preparation of abstracts:

- 1. Technical Model description (Nature of the model or simulator)
- 2. Areas of model application
- Special model requirements
  - a. Areas of model application
  - b. Other special considerations.
- 17. Key Words. Select specific and precise terms or short phrases that identify the principal subjects covered in the report. The sponsoring element may specify that key words shall conform to standard terminology, such as that given in the Department of Defense/Engineers Joint Council <u>Thesaurus of Engineering and Scientific Terms</u>, or a Thesaurus of Terms established by the sponsoring element.
- 18. Distribution Statement. Enter one of the authorized statements (Paragraph 7b(9)) used to denote releasability to the public or a limitation on dissemination for reasons other than security of defense information. Refer questions on the statements to the sponsoring element.
- 19. Security Classification (of report). Note: Reports carrying a security classification will require additional markings giving security and downgrading information as specified by the sponsoring element.
- 20. Security Classification (of this page). Note: Because this page may be used in preparing announcements, bibliographies, and data banks, it should be unclassified, if possible. If a classification is required, identify the classified items on the page by an appropriate symbol.
- 21. No. of Pages. Insert the number of pages having printed material, including front and inside covers.

#### FIGURE 2B. INSTRUCTIONS FOR COMPLETING TECHNICAL REPORT DOCUMENTATION PAGE.

- (2) <u>Preface</u>. Among possible uses, a preface may show the relation of the work reported on to associated efforts, give credit for the use of copyrighted material, and acknowledge significant assistance received.
- (3) <u>Metric Conversion Factors</u>. Include a Metric Conversion Factors page (Figure 3) in the report to provide the reader with information for converting to metric measures. Additional units may be included as they apply to the contents of the report. The Metric Conversion Factors page may be obtained from the DOT Warehouse, Publications and Forms, TAD-443.1, or copied from this document. Include page on reverse side of Preface or form.
- (4) <u>Table of Contents</u>. In the Table of Contents (not suggested for a report of less than ten pages), list principal headings as they appear in the report with the page numbers on which the headings occur. Do not list items from the front matter. Start the Table of Contents on a right-hand page.
- (5). <u>List of Illustrations</u>. Furnish a list of illustrations only if it is considered essential. List figure number, legend, and page number of each illustration. Abbreviate lengthy legends.
- (6) <u>List of Tables</u>. Furnish a list of tables only if it is considered essential. List table number, caption, and page number of each table. Abbreviate lengthy captions.
- (7) <u>List of Abbreviations and Symbols</u>. Define symbols and abbreviations where first introduced in the text. When symbols and abbreviations are numerous, furnish a separate list with definitions. If list is used, include organization symbols, e.g., IEEE, ANSI, etc.

NOTE: To save space, items (5), (6), and (7) should follow on at the end of the Table of Contents. Do not present each of these on a new page.

#### e. Body of Report.

- (1) <u>General.</u> The contents and organization of the body of a report shall be determined by the nature of the work. However, limit the contents to that information required by the sponsoring organization to inform the reader. Eliminate unnecessary details and appendixes. To reduce primary and secondary reproduction costs and to expedite review, approval, printing and distribution, keep the number of pages to a minimum. Start the first section on a right-hand page. This section usually provides work objectives and background information. Succeeding sections describe work procedures, apparatus involved, tests performed, results achieved, and related matters, as appropriate. The terminal sections usually present conclusions and recommendations. Start new sections or chapters at the top of the next succeeding page, be it left- or right-handed.
- (2) <u>Headings</u>. Headings shall stand out from the text with their relative importance apparent.
  - (3) <u>Numbering System</u>. Number headings and paragraphs only when the numbers are needed for clarity or when extensive cross-references are used.

### METRIC CONVERSION FACTORS

Ą	Approximate Conversions to Metric Measures					Approximate Conversions to Metric Measures				es
Symbol	When You Know	Multiply by	To Find	Symbol		Symbol	When You Know	Multiply by	To Find	Symbol
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			<del>-</del>		<del></del> =		millimeters	0.04	inahaa	in
in	inches	*2.5	centimeters	cm	_ =	mm	centimeters	0.04	inches inches	in in
ft	feet	30	centimeters	cm	<u> </u>	cm m	meters	3.3	feet	ft
yd	yards	0.9	meters	m	– <del>–</del> ν	m	meters	3.3 1.1	yards	yd
mi	miles	1.6	kilometers	km	<u> </u>	km	kilometers	0.6	miles	yu mi
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2 in	oguara inabaa	6.5		om <sup>2</sup>	<u></u> =	2	aguara contimators	s 0.16		in <sup>2</sup>
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			nectares	IIa			***			
	MAS	S (weight)	_		<del>-</del> -		MASS	(weight)	_	
OZ	ounces	28	grams	g	N <u>-</u> ≣	g	grams	0.035	ounces	oz
lb	pounds	0.45	kilograms	kg		kg	kilograms	2.2	pounds	lb
	short tons	0.9	tonnes	t	<b>- =</b>	t	tonnes (1000 kg)	1.1	short tons	
	(2000 lb)				— E					
	VO	LUME					VOL	UME		
tsp	teaspoons	5	milliliters	ml	— <u> </u>	ml	milliliters	0.03	fluid ounces	fl oz
Tbsp	tablespoons	15	milliliters	ml	<b>— =</b>	I	liters	2.1	pints	pt
fl oz	fluid ounces	30	milliliters	ml	<u> </u>	I	liters	1.06	quarts	qt
С	cups	0.24	liters	1	~	I	liters	0.26	gallons	gal ft <sup>3</sup> ₂
pt	pints	0.47	liters	I	ω <del>-</del> Ξ	m <sup>3</sup>	cubic meters	35	cubic feet	ft <sup>3</sup> 3
qt	quarts	0.95	liters	I	<del>-</del> -	mз	cubic meters	1.3	cubic yards	ft <sup>3</sup> <sub>3</sub> yd
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		32)			<u>+a c</u>	<del></del>	° C	37		° c

<sup>\*1</sup> in = 2.54 (exactly). For other exact conversions and more detailed tables, see NBS Misc. Publ. 286, Units of Weights and Measures, Price \$2.25, SD Catalog No. C13.10:286

FIGURE 3. METRIC CONVERSION FACTORS

#### f. Reference Material.

- (1) <u>Appendixes</u>. Start an appendix on a right-hand page. Do not use a separate page to announce an appendix; rather, the -appendix identification should appear at the top of the page with the content starting immediately on the same page. Each appendix shall be cited in the table of contents and from the appropriate position in the body of the report. When more than one appendix is used, designate them Appendix A. Appendix B, etc. When only one appendix is used, no designation is necessary.
- (2) <u>Glossary</u>. Define special terms where first introduced in the text. When such terms are numerous, list them as a glossary in alphabetical order.
- (3) References, Bibliography, and Footnotes. Include complete identification of references as footnotes on bottom of page where first cited to aid in reading from microform. When references are numerous, they should be included in a reference list in the back of the report. Entries should be presented in a uniform style, with complete identifying data, in accepted bibliographic format. Each entry should include authors, title, sources, identifying numbers, pagination, and dates. Abbreviations are not recommended and should be used sparingly. Refer to Paragraph 3b, REFERENCES.
- (4) <u>Index</u>. If an index is included for a lengthy report, make it as complete as the nature of the report and its probable usage requires.

#### g. <u>Illustrations</u>.

- (1) <u>General</u>. Treat illustrations consistently throughout a report. Prepare them so that details and callouts (labels) will be clearly legible after final reproduction. Crop or mask photographs to eliminate insignificant detail. Do not add border frames to outline illustrations or use backdrop tones in line drawings unless they contribute substantially to clarity. For reproducible copy, submit only clean line art and only original photographs (or other types of tone art) rather than screened (halftone) reproductions and indicate smallest size acceptable.
- (2) <u>Placement</u>. Locate illustrations near the first text reference made to them except in special situations, such as when a report contains only a few text pages and many illustrations; in such cases, place the illustrations in numerical sequence in the back of the report. It is preferable that illustrations be placed so that they may be viewed without turning the page sideways. If an illustration has to be placed sideways on a page, orient it so that the top of the illustration is at the left side of the page.

(3) <u>Callouts (Labels)</u>. So far as practicable, place <u>callouts</u> horizontally, unboxed and near the item called out, as shown in Figure 4. Make <u>callouts</u> in upper case lettering and consistent in size and typeface throughout a report. Use a typewriter of headliner type size. Strive for high contrast and readability.

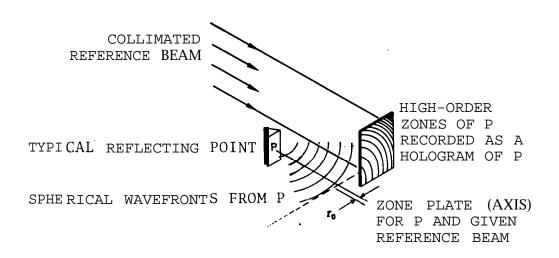
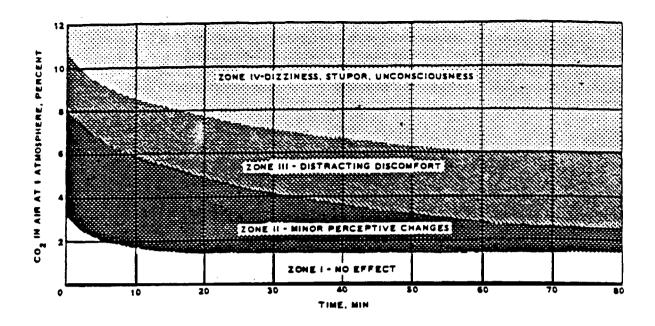


FIGURE 4. SAMPLE PLACEMENT OF CALLOUTS (LABELS).

- (4) <u>Color</u>. Color must not be used unless specifically authorized by the sponsoring agency. Often screens, cross-hatching, pattern lines, reverses, dots, or similar techniques can be used as effective substitutes for color (Figure 5). Refer to Government Printing and Binding Regulations for general provisions concerning color printing,
- (5) <u>Fold-ins</u>. Wherever possible, avoid the use of oversize illustrations that must be folded. Often most large illustrations can be planned for facing pages. When used, fold-ins should be presented on a right-hand page.
- (6) <u>Numbering</u>. Number illustrations to which reference is made in the text consecutively in Arabic numeral, preceded by the word "FIGURE", for example, FIGURE 1, FIGURE 2, or FIGURE 1-1, FIGURE 1-2, FIGURE 2-1, etc. Number illustrations within appendixes in a manner consistent with the appendix letter, such as Figure A-1, Figure B-2, etc. If only one appendix, use Figure A-1, etc.



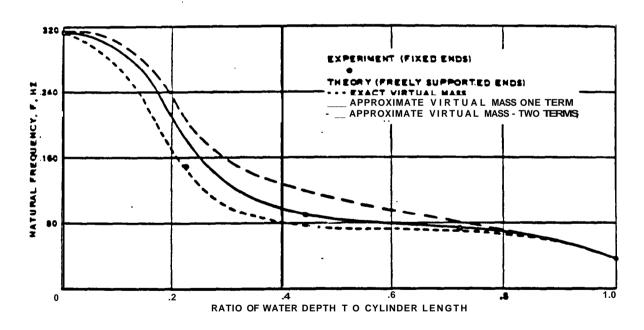


FIGURE 5. SAMPLE SCREENING (TOP) AND CODING (BOTTOM) USED AS SUBSTITUTES FOR COLOR.

(7) <u>Figure Titles</u>. Accompany each illustration, except for self-explanatory sketches, by a descriptive legend. The legend is ordinarily placed under the illustration and follows the figure number. Figure titles should appear as upper case and of the same type style as used for the text.

#### h. Tables.

(1) <u>General</u>. Tables should be as simple as possible so that the reader can easily grasp the meaning of the data. Use letters and numbers in tables that will be at least 6-point or larger in the final reproduced report, If tables are to be reproduced **directly from** a computer generated printout, the characters on such printout should be sharp and unbroken. A sample table is shown in Figure 6.

#### Boxbead

Temperature, K	Specimen type (a)	Ultimate tensile strength. N/m²	Elongation between buttonheads, cm	Reduction of area, percent	
Footnote refere	nce_	Tungsten			
1700	1	2200 × 10 <sup>3</sup>	1.57	95	
1900	1	1312	1.60	75	
2060	1	967	. 69	36	
2260	1	674	. 51	25	

<sup>&</sup>lt;sup>a</sup>Recrystallized at 2370 K for 1/2 hour in vacuum. Footnote

FIGURE 6. SAMPLE TYPICAL TABLE LAYOUT. For more complete information on tables, see the Government Printing Office Style Manual.

(2) <u>Placement.</u> Locate tables near the first text reference made to them, except in special situations such as when a report contains only a few text pages and many tables. In such cases, place the tables in numerical sequence in the back of the report. It is preferable that tables be placed so that they may be viewed without turning the page sideways. If a table has to be located sideways on a page, orient it so that the top of the table is at the left side of the page.

- (3) <u>Headings and Columns</u>. Give repetitive unit of measure or degree in the column headings of tables. (Example %; \$; oF.) Do not repeat in the columns. When tables continue on two or more pages, note the continuation and repeat the table and column headings and rulings on each page.
- (4) <u>Numbering.</u> Number tables to which reference is made in the text consecutively in Arabic numerals, preceded by the word "TABLE", for example, TABLE 1, TABLE 2, or TABLE 1-1, TABLE 1-2, TABLE 2-1, etc. Number tables within appendixes in a manner consistent with the Appendix letter, such as "TABLE A-1, TABLE B-2," etc.
- (5) <u>Captions</u>. Give each table, except short ones which run in with the text, a descriptive caption following the table number. Place caption above the table.

#### i. Equations.

- (1) <u>General</u>. Prepare mathematical matter with extreme care. Use machine or transfer-type composition when available. Identify symbols after first use to aid in reading from microform or in a separate list. Make opening and closing parentheses, brackets, and braces the same height as the tallest expression they enclose. Separate numerator from the denominator with a line as long as the longer of the two. Center both numerator and denominator on the line.
- (2) <u>Placement</u>. Indent or center a displayed equation in the line immediately following the first text reference made to it. Break equations before an equal, plus, or multiplication sign. Align a group of separate but related equations by the equal signs and indent or center the group as a whole. Short equations not part of a series may be placed in the text rather than displayed.
- (3) <u>Numbering</u>. Number equations which are part of a series or which are referred to in the text consecutively in Arabic numerals; for example, (1), (2), or (1-1), (1-2), (2-1), etc. Enclose each number in parentheses at the right margin on the last line of the equation numbers. Number equations within appendixes in a manner consistent with the appendix letter, such as (A-1), (B-2), etc.
  - j. Distribution List. Do not include a distribution list in a DOT report.

#### 8. PRODUCTION

#### a. Composition

- (1) <u>Type Size</u>. Use a minimum 8-point type size or typewriter for the main text of the report.
- (2) <u>Final Camera-Ready Copy</u>. For maximum page coverage, do not use block paragraphs. Rather, return all succeeding lines to the left margin.

Unless a report is classified, do not use: "This page left blank intentionally." This increases the number of pages to be printed and increases the cost and time required to make pages ready for printing, i.e., sizing pages, making plates or negatives, etc. Note blank pages to the printing specialist by circle folio, or number pages, for example 7/8, which instructs the printer and reader that page 8 is blank. Do not include two and three line pages, noting," Chapter and Title" only or "Appendix and Title" only. Place this information at top of page containing the start of text. This eliminates the cost and time to make pages ready for printing.

- (3) <u>Line Spacing</u>. Use a single or 1 1/2 spacing for reports prepared by typewriter for reproduction, except when extra spacing between lines is necessary to assure clarity of run-in equations, symbols, etc.
- (4) <u>Margins</u>. Use margins of no more than 1 inch on all sides of text pages.
- (5) <u>Page Numbering</u>. Wherever practicable, number all pages throughout a report consecutively at the bottom center. Number preliminary pages, containing the Technical Report Documentation Page, Preface, Metric Conversion Factors, Table of Contents, etc., in lower case Roman numerals: I, ii, iii, etc. Number pages containing main text and illustrations in Arabic numerals: 1, 2, 3, etc. In special cases, pages may be numbered by section or chapter: 1-1, 1-2; 2-1, 2-2, etc. Number appendixes in alphanumerics: A-1, A-2; B-1, B-2, etc. Odd numbered pages are right-hand pages and even-numbered pages are left-hand pages.
- b. <u>Limitation on Printing</u>. Contractors shall furnish a reproducible copy on one side only of the final approved report within the time specified in the contract. Only clean tone or line art and original photographs and text suitable for camera-ready copy for offset printing shall be submitted. Contractors shall not become prime sources of printing for agencies unless so authorized by the Joint Committee on Printing. Refer to the Government Printing and Binding Regulations. Both duplicating and printing must conform to these regulations. Printing shall not be a preplanned contractual requirement.
- c. <u>Workmanship</u>. Reports published under this document are microreproduced. Filled-in or broken letters, illegible text or illustrations (including lettering), or similar imperfections are not acceptable. Copies of computerized printout material made on electrostatic photo copy machines are generally of poor quality for further reproduction. Original printouts or photographic reproductions using high contrast file processing techniques are generally acceptable.
- d. <u>Self Cover Size, Stock, and Ink.</u> Whenever possible, reports shall be printed with self covers (of the same weight paper as the text) cut to page size, using black ink. Covers with windows or plastic covering over self covers shall not be used.

- e. <u>Page Size, Stock, and Ink.</u> Reports shall be printed using paper approximately 8 by 10 1/2 inches or 8 1/2 by 11 inches in size. Use black ink on opaque white paper. Both sides of the sheet shall be used to the maximum extent practicable. Different colored paper in reports sections shall not be used.
- f. <u>Binding</u>. Side-stitching, saddle-stitching or glue-back binding shall be requested. Other types of binding require specific approval of the sponsoring administration.
- g. <u>Decorative Features and Advertising.</u> Advertising display on pages shall not be used.

#### 9. REVIEW, ACCEPTANCE AND DISTRIBUTION.

- a. <u>Review and Acceptance</u>. To ensure that DOT technical reports conform to the established standards of format and distribution and to protect the Government interest against possible litigation, all reports shall be reviewed and accepted as follows;
- (1) Review. After completion of the technical work related to a contract, grant, or project phase, the performing organization shall submit advance draft copies of the report with a letter of transmittal to the concerned element of the DOT sponsoring administration for review and approval in accordance with the appropriate work agreement. Such review is for the purpose of assuring that the report is of high professional quality and in compliance with the project assignment and with the quidelines established by this document.
- (2) <u>Acceptance</u>. A critique of reports prepared by DOT elements will be provided performing organizations in writing by the DOT sponsoring administration concerned, within 60 days of receipt of draft copies. For contractor prepared reports, approval will be provided in writing by the contracting officer or his designated representative.
- (3) <u>Waiver of Approval Authority</u>. In cases where the sponsoring organization waives its review and approval authority, such waiver shall be specified in the agreement documentation.

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